

# A Method for Automated Test Cases Generation from Sequence Diagrams and Object Constraint Language for Concurrent Programs

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## Abstract

This paper proposes an automated test cases generation method from sequence diagrams, class diagrams, and object constraint language. The method supports UML 2.0 sequence diagrams including eight kinds of combined fragments describing control flow of systems. Test cases are generated with respect to the given concurrency coverage criteria. With strong concurrency coverage, generating exhaustive test cases for all concurrent interleaving sequences is exponential in size. The key idea of this method is to create selection of possible test scenarios in special case of exploring the message sequence with their possible interleaving in parallel or weak sequencing fragments. Test data for testing loop fragments are also generated. We implement a tool to automate the proposed method and studied its feasibility and effectiveness. Experimental results show that the method can generate test cases on demand to satisfy a given concurrency coverage criterion and can detect up to 74.5% of seeded faults.

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## 1. Introduction

Model-based testing plays a significant role in practice and a lot of researches on it has been investigated in recent years due to great benefits. There are some approaches for model-based testing: test data generation, test cases generation from behavior models and test scripts generation from abstract tests [1]. Generation of executable test cases from Unified Modeling

Language (UML) sequence diagrams and Object Constraint Language (OCL) is one of major approaches. It is easier to obtain the accurate behavior models in order to apply in practice in the software companies. In this approach, the translation of a sequence diagram into an intermediate graph [2, 3] is mandatory for all possible scenarios generation. The scenarios denote abstract test cases that will help to find errors during implementation of software systems.

Many works have proposed in order to show

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that approach. However, some methods did not address different types of combined fragments and in case nested combined fragments [4, 5]. An approach in [2] dealt with five combined fragments such as repetition (loop), selection (alt/opt/break) and concurrencies (par) in UML 2.0 [6], but it only executed one iteration in loop fragment. Therefore, it needs to generate more test scenarios in testing loops. This approach did not also generate all possible test scenarios (in special case of parallel fragment). Some problems such as deadlocks and synchronization in concurrent systems are solved in [7, 3], but this method did not handle test data generation.

When generating tests from UML sequence diagrams and OCL, we first need to construct a set of test scenarios, which represent a sequence of performed operations in a software system. The system to be tested is complex, the number of test scenarios may be huge, and therefore, one challenging task is how to derive a comprehensive test scenario suite. Clearly, automatic test scenario generation would be particularly desirable, but automatic test cases generation from UML sequence diagrams and OCL faces the following difficulties:

- Concurrency in a sequence diagram is attributed by weak sequencing (seq) or parallel (par) fragments. Concurrent program may behave nondeterministically and it may result in different outputs when repeated with the same inputs in different runs. Therefore, test cases generation in concurrent programs have a degree of nondeterminism that sequential programs do not have.
- Coverage of concurrency and branch features could lead to a huge number of test scenarios, not all of which could be tested, because some infeasible test scenarios correspond to unreachable paths.
- Test data are generated in testing loop fragments while some approaches [2, 5] solves this problem with the body of the loop is only executed once.

This paper proposes a method in order to deal with the above issues. The method generates test scenarios from UML sequence diagrams 2.0 and OCL (in class diagram) according to a coverage criterion for concurrent flows. The key idea of this method addresses selection of possible test scenarios to avoid the test scenarios explosion. Next, test data are created from the constraints by using one predicate at a time and reducing domains of variables step by step. The new point is test data generation in testing loop fragments. Therefore, it helps to detect errors in testing loop and concurrency errors such as safety and liveness property of the systems. Comparing with [8], we develop test case generation algorithm with respect to the given concurrency coverage criteria and implement the tool to automate the proposed method. The main contributions of this paper, together with its preliminary version [8], are fourfold:

- (i) We propose the method for generating test scenarios with respect to concurrency coverage criteria for testing concurrent behaviors.
- (ii) We propose test data generation procedure for each test scenario, special in case of loops.
- (iii) We develop a tool to automate the proposed method with analysing sequence diagrams in xmi file.
- (iv) We conduct a case study to validate the feasibility and effectiveness of the proposed method.

The rest of this paper is organized as follows: Section 2 introduces some of the basic concepts that used in this research. A brief control-flow graph generation from UML 2.0 sequence diagrams and class diagrams is given in Sect. 3. Sect. 4 describes the improved method to test scenarios generation with respect to concurrency coverage criteria. Sect. 5 describes the proposed test data generation. Sect. 6 presents the tool developed to implement the proposed method and a case study to validate the feasibility and

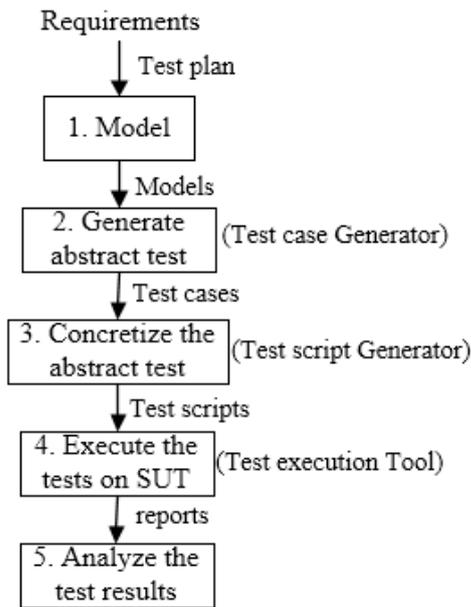


Fig. 1: The model-based testing process.

effectiveness of the method. Finally, we conclude the paper and discuss future works in Sect. 7.

## 2. Background

In this section, we introduce some of the underlying concepts of model-based testing, concurrency coverage criterion and mutation analysis.

### 2.1. Model-based testing

Model-based testing (MBT) automates the detailed design of the test cases and the generation of the traceability matrix [1]. More precisely, instead of manually writing hundreds of test cases (sequences of operations), the test designer writes an abstract model of the system under test (SUT), and then the MBT tool generates a set of test cases from that model. The overall test design time is reduced, and an added advantage is that one can generate a variety of test suites from the same model simply by using different test selection criteria. The MBT process can be divided into the following five main steps, as shown in Figure 1.

The first step of MBT is to write an abstract model of the system that we want to test. It should

focus on just the key aspects that we want to test and should omit many of the details of the SUT. The second step of MBT is to generate set of abstract tests, which are sequences of operations from the model. The coverage reports some indications of how well the generated test set exercises all the behaviors of the model. The first two steps distinguish MBT from other kinds of testing. In online MBT tools, steps two through four are usually merged into one step whereas in offline MBT, they are separate. The third step of MBT is to transform the abstract tests into executable concrete tests. This may be done by a transformation tool, which uses various templates and mappings to translate each abstract test case into an executable test script. The fourth step is to execute the concrete tests on the SUT. With online MBT, the tests will be executed as they are produced, so the MBT tool will manage the test execution process and record the results. The fifth step is to analyze the results of the test executions and take corrective action that means comparing the actual results with expected ones. By making the model explicit, in a notation that can be used by MBT tools, we are able to generate tests automatically (which decreases the cost of testing), generate an arbitrary number of tests, as well as obtain more systematic coverage of the model. These changes can increase both the quality and quantity of test suite.

### 2.2. Concurrency coverage criteria

Generating test scenarios is a key step in the generation of test cases. Because it is usually impossible or infeasible to test all possible paths (due to limited testing resources), three coverage criteria have been proposed in [9, 10] as follows.

- (i) Weak concurrency coverage: test scenarios are derived to cover only one feasible sequence of parallel processes, without considering the interleaving of messages between parallel processes.
- (ii) Moderate concurrency coverage: test scenarios are derived to cover all feasible sequences of parallel processes without

considering the interleaving of messages between parallel processes.

- (iii) Strong concurrency coverage: test scenarios are derived to cover feasible sequences of messages and parallel processes having the interleaving of messages between parallel processes.

Clearly, these concurrency coverage criteria require that the derived test scenarios cover each parallel process at least once. Both weak and moderate concurrency coverage test the messages and control flows within a parallel process in a sequence way. Strong concurrency coverage considers the crossing of messages and control flows from parallel processes, which may result in a huge number of test scenarios, and thus may be impractical. We propose a test scenarios generation algorithm to satisfy possible interleaving of messages in parallel processes, and it also avoids messages sequence exploration.

### 2.3. Mutation analysis

Mutation analysis has been widely employed to evaluate the effectiveness of various software testing techniques [11]. Mutation testing is a fault-based testing technique which hypothesizes certain types of faults that may be injected by programmers and then designs test cases targeted at uncovering such faults. Faults are introduced into the program by creating a set of faulty versions, called mutants. These mutants are created from the original program by applying mutation operators, which describe syntactic changes to the programming language. Test cases are used to execute these mutants with the goal of causing each mutant to produce incorrect output. The mutation score (*MS*) measures the adequacy of a set of test cases that is defined as follows:

$$MS(p, t) = \frac{N_k}{N_m - N_e}$$

where  $p$  refers to the program being mutated,  $t$  is the test suite,  $N_k$  is the number of killed mutants,  $N_m$  is the total number of mutants, and  $N_e$  is the number of equivalent mutants. An equivalent mutant is one whose behavior is the same as that of  $p$ , for all test cases. The automatically generated mutants can be very

similar to real-life faults [12], making *MS* a good indicator of the effectiveness of a testing technique [11]. We therefore use the *MS* to evaluate our proposed method.

### 3. Control-Flow Graph Generation

Given UML 2.0 sequence diagrams describes behavior of SUT and class diagrams declares all method signatures and class attributes. Control-flow graph (CFG) generation from sequence diagrams is used by a proposed recursive algorithm, and constraints of variables are derived from class diagram to generate test data.

A CFG is a directed graph that represents a corresponding sequence diagram. Each node is either a block node (BN), a decision node (DN), a merge node (MN), a fork node (FN) or a join node (JN). The edges represent control flows among nodes. Edges from DNs are labelled with a predicate.

A BN represents a message  $m_i$  or a sequence of messages. Each message  $m_i$  contains type information of the receiver class from class diagram and is structured as  $(m_i, parameterList, returnValue)$  and each parameter of message  $m_i$  may be a class attribute involved with constraints (OCL expressions).

A DN represents a conditional expression such as boolean expression that needs to be satisfied for selection among operands of a fragment. A MN represents an exit from the selection behavior (for example, an exit from an alt or an opt fragment). A FN represents an entry into a par or a seq fragment. A JN represents an exit from a par or a seq fragment.

First of all, the generation of sequence diagram data structure creates queue which includes message, fragment and operand. The proposed iterative process is processElement\* for generating different kinds of nodes from the queue. At each iteration, it analyzes each element of queue to create corresponding exit node, connect edge from current node to exit node, then exit node is considered current node. Because the parameters of a message in the sequence diagram lack the constraint and type information, the

additional information (constraints of variables) is derived from the class diagram and is appended to each message. The algorithm 1 can analyze all of sequence diagrams where any combined fragment can contain of the support fragments in UML 2.0. The proposed technique requires sequence diagrams in xmi file. We use the analysis of xmi sequence diagram to create corresponding queue [13]. The data structure of sequence diagram is an array of elements including message, fragment and operand. All elements are sorted by time taken in the diagram. The termination of loop (line 7), we have a data structure queue that is equivalent to the input xmi file. The processElement returns corresponding exit node  $x$  (line 8). CFG is generated by connecting initial node  $in$  to the order of exit nodes created by the function, then the last edge is made from  $x$  to final node  $fn$  (line 11).

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#### Algorithm 1 Generating CFG

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**Input:** D:Sequence diagram;CD:Class diagram  
**Output:** Graph G: (A, E, in, F) where A is a set of nodes (consisting BN, DN, MN, FN, JN); in denotes the initial node and F denotes a set of all final nodes representing terminal nodes of the graph; E is a set of control edges such that  $E = \{(x, y) | x, y \in A \cup F\}$ .

- 1: create initial node  $in$ , node  $x$ ;
- 2: create empty *queue*;
- 3: create *curPos* point at start element of sequence diagram D in xmi;
- 4: **repeat**
- 5:     *curPos* read each element of D to add to queue //used in [13]
- 6:     *curPos* move to next Element;
- 7: **until** *curPos* meets end element of xmi file
- 8:  $x = \text{processElement}(\text{queue}, \text{CD}, in)$ ;
- 9: **if**  $x \neq \text{finalNode}$  **then**
- 10:     create final Node  $fn \in F$ ;
- 11:     Connect edge from  $x$  to  $fn$ ;
- 12: **end if**
- 13: **return** G;

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Algorithm 2 analyzes each element of queue to return different kinds of nodes. Starting  $in$  node is considered current node ( $curNode$ ), each

element of queue is taken ( $queue.pop()$ ). The function is iteratively called to be transformed. There are five kinds of corresponding nodes in the graph that are BN, DN, MN, FN and JN. In addition, with each element of the sequence diagram, we distinguish two nodes- entry node and exit node. The entry node is the current node which is connected to the outside by incoming edges and therefore supplied as input to the function. The exit node is the node which is connected to the outside by outgoing edges and hence returned as output of the function. When the element derived from the sequence diagram that is message  $m$ , then the receiver class of the message is consulted. The method signature corresponding to the method call is then derived using the function *ReturnMessageStructure*. For the OCL constraints, type and attribute (the structure including ( $m_i$ , *parameterList*, *returnValue*)) are appended to the message  $m_i$ , and the code to perform it is the function call *AttachConstraintInfo()*. After creating the corresponding node, the current node will be connected to the created node, and then this node is considered the current node. In this way, CFG is generated from the sequence diagram with any nested combination of fragments.

Comparing with [2], a sequence of messages in operands of par fragments is equivalent with a BN while in this technique each message corresponds to a BN. The *isAsyn* property is attached to each BN if the corresponding message of operands in seq or par fragment have sharing data or lock mechanism (line 29). Therefore, a method in Sect. 4 can generate all possible scenarios by exploring the message sequence with their possible interleaving of operands in seq or par fragments. In addition strict and critical fragments are also applied to generate CFG (Fig. 2 and Fig. 3).

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#### Algorithm 2 Analyzing elements of queue

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**Input:** Class diagram CD, queue  $q$ ,  $curNode \in A$   
**Output:**  $exitNode \in A$

**function** processElement ( $q$ : queue, CD: class diagram,  $curNode:A$ ):A  
1:**while** queue != empty **do**

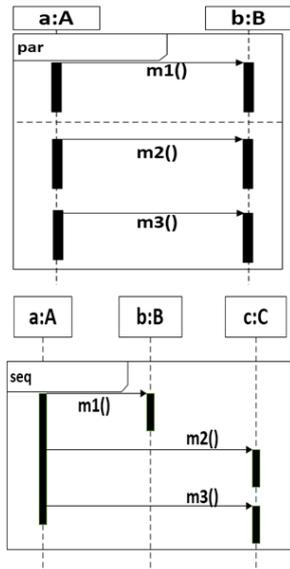


Fig. 2: General structure of CFG (for par, seq fragments).

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2:  x = queue.pop();
3:  if(x==fragment) and (x.type=='opt' or 'alt'
or 'break' or 'loop') then
4:    Create a DN ;
5:    ConnectEdge(curNode,DN);
6:  else if (x==message) then
7:    begin
8:    BN=CreateBlockNode();//BN is message
8:    or a set of messages
9:    for each message m ∈ B
10:   get receiver class in r.clasName
11:   msg=returnMsgStructure(CD,r.clasName,m)
12:   attr=returnAttributeStructure(CD,r.clasName)
13:   for all variables in m
14:     attachAttributeInfor(attr,m);
14:     //attach constraint c[i] to msg
15:   end for
16: end for
17: ConnectEdge(curNode,DN);
18: exitNode =BN;
19: end;
20: else if(x==operand)and(x.guard!=null)then
21:   attachGuardtoEdge()
22:   curNode = DN;
23: else if(x==frag)and(x.type=='par'or'seq')then
24:   Create forkNode FN;
25:   ConnectEdge(curNode,FN);
26:   curNode = FN;
27:   for each operand

```

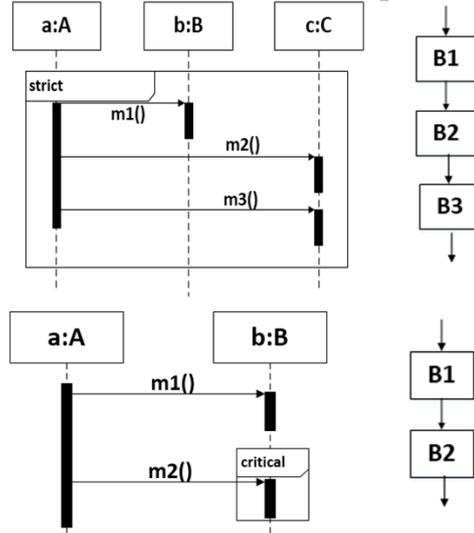
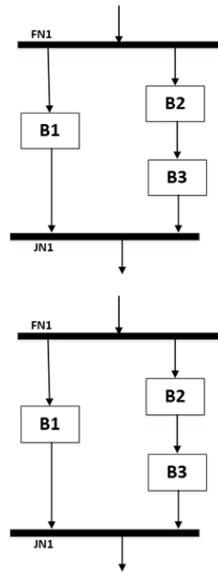


Fig. 3: General structure of CFG (for strict and critical region fragments).

```

28:   create BN to coressponding msg;
29:   isAsynToBN();//attach isAsyn to BN
30:   end for
31: else if(x=='EOF' and x.type=='alt' or 'opt') then
31:   //termination condition of frag alt or opt
32:   Create merge node MN
33:   ConnectEdge(curNode,MN);
34:   exitNode =MN;
35: else if(x=='EOF' and x.type=='par' or 'seq') then
36:   Create join node JN
37:   ConnectEdge(curNode,JN);
38:   exitNode =JN;
39: else if (x=='EOF' and x.type=='loop') then
40:   attachLoopstoEdge()
40:   //attach number of loops to Edge
41:   ConnectEdge(curNode,DN);
42:   curNode=DN;
43: end if
44: return exitNode;
45: end while;

```

#### 4. Test Scenarios Generation

Input of the test scenarios generation is CFG (as discussed in Sect. 3). The test scenarios denote abstract test cases which represent possible traces of executions. The output from the scenario generation is a finite set of scenarios which are complete paths starting from the initial

node to the final node. Because it is usually impossible or infeasible to test all possible paths (due to limited testing resources), three concurrency coverage criteria are given above to choose that depending on the characteristics of each project software. Both weak and moderate concurrency coverage test the messages and control flows within a parallel process in a sequence way. If the systems do not address the issues of the synchronization and sharing data, we can select the weak or moderate coverage criteria. The weak concurrency coverage is one of case of the moderate coverage, so we propose Algorithm 3 to generate test scenarios following the moderate coverage. Basic paths generated using the Algorithm 3 are suitable for node coverage and edge coverage of graph, but do not address the issues of the synchronization and data safety. When using that algorithm, we cannot explore the message sequence with their possible interleaving of operands in par or seq fragments.

The proposed Algorithm 4 generates test scenarios to improve the strong concurrency coverage from CFG to solve that problem. The algorithm constructs a path for each thread of execution. At each step it appends BN to the path  $t$  if  $curNode$  is BN. When a DN is reached then on the basis of result of decision guard condition, the path  $t$  is appended respective true/false part up to MN. If  $curNode$  is FN then sub paths representing each thread of execution for that fork are activated. The messages of operands in seq or par fragment (having `isAsyn` property is true) is a switch point of sub paths. The point changes for the sub paths when BNs are appended to the path  $t$ . That addition will stop until all active sub paths for a given FN are empty. When  $curNode$  is reached a final node ( $fn_i$ ), the path  $t$  is updated collection of test scenarios  $T$ .

Comparing with depth first search (DFS) and breadth first search (BFS) algorithm, these new generated paths are given as test scenarios for testing concurrency errors in sequence diagram. In par or seq fragment, selection of adequate switch points for message interleaving of operands among queues is more important. If there is no switch point for each concurrent thread

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**Algorithm 3** Generating the test scenarios following the moderate concurrency coverage

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**Input:** Control-flow Graph  $G$  with initial node  $in$  and final nodes are  $fn_i$

**Output:**  $T$  is a collection of test scenarios,  $t$  is a test path

```

1:  $T = \emptyset; t = \emptyset;$ 
2:  $curNode = in;$  //current node starts from  $in$ 
3: repeat
4:   move to next node;
5:   if  $curNode == BN$  then
6:      $t.append(BN);$ 
7:   end if
8:   if  $curNode == DN$  then
9:     Append true part of BN up to MN in  $t$ 
10:    Append false part of BN up to MN in  $t$ 
11:   end if
12:   if ( $curNode == FN$ ) then
13:     create sub path  $t_i$  for each fork out flow;
14:     append BN of each fork flow up to JN
15:     in respective sub path  $t_i;$ 
16:   end if
17:   if ( $curNode == fn_i$ ) then
18:      $T = T + \{t\};$ 
19:   end if
20: until Graph end

```

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then messages will execute one after another in sequence. This sequencing will lose concurrent nature among messages. If there is a switch point after each message in queue then number of concurrent paths will be exponential. In case of concurrent threads that neither share any common data nor need any casual order between messages of different threads can be interleaved in any sequence. Therefore, it will not lead to any concurrency or synchronization error. But the messages of concurrent threads have the share common data or need any casual order among them in different threads that can be interleaved in restricted way. These types of threads are called synchronized threads. The synchronized threads need careful selection of switch point in queues to generate adequate test scenario. A proper selection of switch point will generate a feasible concurrent test sequence in presence of concurrency. A shared data of messages in

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**Algorithm 4** Generating the test scenarios to improve the strong concurrency coverage

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**Input:** Control-flow Graph  $G$  with initial node  $in$  and final nodes are  $fn_i$

**Output:**  $T$  is a collection of test scenarios,  $t$  is a test path

```

1:  $T = \emptyset; t = \emptyset;$ 
2:  $curNode = in;$  //current node starts from  $in$ 
3: repeat
4:   move to next node;
5:   if ( $curNode == BN$ ) then
6:      $t.append(BN);$ 
7:   end if
8:   if ( $curNode == DN$  and Branch is TRUE) then
9:     Append  $t$  with true part  $BN$  to  $MN;$ 
10:  else
11:    Append  $t$  with false part  $BN$  to  $MN;$ 
12:  end if
13:  if ( $curNode == FN$ ) then
14:    active all sub paths of  $FN;$ 
15:    repeat
16:      Select random sub path;
17:      Append  $t$  with node up to before or
        after node having isAsyn //message
        having isAsyn (true) is a switch point
18:    until all sub paths are empty
19:  end if
20:  if ( $curNode == fn_i$ ) then
21:     $T = T + \{t\};$ 
22:  end if
23: until Graph end

```

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operand or threads using locking mechanism in par or seq fragment need synchronized access. To capture data safety errors, a switch point is selected before a sharing data, after a sharing data, before locking and after locking (for example, bank transaction has two threads in par fragment: Lock1-withdraw1-Unlock1; Lock2-deposit2-Unlock2). These switch points try to capture casual ordering errors and data safety errors in the concurrent thread implementation. A test scenarios generated by algorithm 4 should be able to uncover data safety error, concurrent execution should able to detect inconsistent state of shared data due to specific interleaving of

execution. A possible technique to generate such interleaving is to switch execution of thread inside critical section. A test sequence that provides such specific interleaving, which check for data inconsistency, is having data safety error uncover capability. Therefore, we could find the concurrency errors such as safety and liveness property of systems. The proposed method is applied to systems for test scenarios generation and found to be very effective in controlling the test scenarios explosion problem.

All variables in the block node are associated with constraint information which is taken in class diagram. One representative value for each variable on the test scenario is to be selected. Therefore, messages in block nodes along the test scenario correspond to a parameterized operation call. Each outgoing edge from a decision node contains one predicate. Each test scenario must satisfy all predicates along its path. Sect. 5 proposes a method to generate test data for each the scenario, special in case of loops.

## 5. Test Data Generation

The test scenarios obtained (as discussed in the Sect. 4) denote the sequence of messages. The sequence is a feasible sequence of messages if we find test data (test input) to satisfy all the constraints along the scenario. Many current researches solve the equations to find values that satisfy these constraints. However, it is difficult to generate test data for testing loops. The proposed method solves that problem by finding values in the test scenarios of CFG, using one predicate at a time and reducing domains of variables step by step. We develop the dynamic domain reduction procedure [2] in case of testing loops.

For each test scenario  $t_i$  ( in set of test scenarios  $T$  ) represents as a sequence of nodes  $\langle n_{i1}, n_{i2}, \dots, n_{ig} \rangle$  where  $n_{i1}$  denotes the initial node and  $n_{ig}$  denotes the final node, we need to find sub domain of test input satisfying all the constraints along current path  $t_i$  such that the path reaches the final node  $n_{ig}$ . *ReduceDomains* of variables is the key step in the procedure. The predicates (on the branch edges) from  $DN$  are used to form

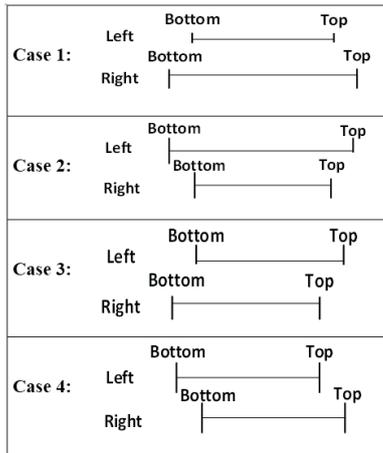


Fig. 4: Compute *splitPt* depending on domains of variables. new constraints. The path  $t_i$  is traversed, a search process is used to split the domain of some variables in an attempt to find a set of values that allow the constraints to be satisfied. *GetSplit* modifies the domains for variables in a constraint so that (1) the new domains satisfy the constraint and (2) the size of the two domains is balanced. For example, predicate is  $x > y$  with domains for  $x, y$  are  $(0..50)$ , the first attempt would be to make the domain for  $x$  to be  $(26..50)$  and for  $y$  is  $(0..25)$ .

Given the initial domains of two variables known as left and right variables that are combined by a relational expression, if these domains are non-intersecting, the predicate may be either satisfied or is infeasible. If the two domains define sets of values that intersect, then *getSplit* modifies the two domains such that the constraint is satisfied for all pairs of values from the two domains. The split point is found based on top and bottom values of left and right domains. There are the following four cases to consider in Fig. 4.

Case 1:  $splitPt = (left.top - left.bot) * pt + left.bot$

Case 2:  $splitPt = (right.top - right.bot) * pt + right.bot$

Case 3:  $splitPt = (left.top - right.bot) * pt + right.bot$

Case 4:  $splitPt = (right.top - left.bot) * pt + left.bot$

The inputs to *getSplit* are domains for two expressions (left and right domains) and integer that indicates what iteration of the search is

being performed ( $Indx = 1, 2, 3, 4, \dots$ ) with  $exp$  satisfies:  $2^{exp} \leq Indx \leq 2^{exp} + 1$   
 Therefore,  $pt = \frac{(2^{exp} - (2 * (2^{exp} - 1) - 1))}{2^{exp}}$   
 Result,  $pt = (\frac{1}{2}, \frac{1}{4}, \frac{3}{4}, \frac{1}{8}, \frac{3}{8}, \dots)$

The synthesis test data generation procedure includes the following steps: Choose test scenario  $t_i$ , a sequence of nodes  $\langle n_{i1}, n_{i2}, \dots, n_{ig} \rangle$ . If node  $n_i$  is a DN, it is marked and encountered. Later, the procedure uses reading predicate on the branch edge and reducing domains of variables by using above *getSplit*. If node  $n_i$  is not a DN, the procedure moves to next node. When using *getSplit*, the value of  $pt$  is initially got to  $\frac{1}{2}$  and depending domains of left and right variables to get the new domains for variables. A split point is returned, the domains of left and right variables are adjusted. If the new domain values satisfy the predicate then the procedure continues with the next node of the scenario  $t_i$  until the final node is reached. If the new domains do not satisfy the constraint the value of  $pt$  is changed to  $\frac{1}{4}$  and a different split is found. If there have been too many attempts to find a feasible split point (more than  $k$  split points), the procedure goes to the previous DN in the scenario  $t_i$ . If there are no previous decision nodes to evaluate, the procedure gives up on this path and goes to the next path in  $T$ . Normally when we test loops, test scenarios will be tested in some cases with 1, 2, random  $n$ , max, min times of specified loops. If the test scenario is traversed, the DN is marked and encountered and then variables are checked dynamically (the maximum or minimum numbers of loops which are parameters of loop fragments are attached in edges of graph). If the variable does not satisfy the constraint, the procedure exits the loop and continues traversing the test scenario on the node after the loop. The reduced domain at the end of the procedure denotes a feasible domain of values for a test scenario.

In the test data generation procedure, loops are handled dynamically. The procedure finds all the scenarios that contain at most one loop structure. It then marks those DNs that affect whether another iteration of the loop is made. Then as the test scenario is traversed, when the DN is encountered, the loop constraint and variables

are checked dynamically to decide whether to continue with another iteration. Comparing with [2], if variables always satisfy in next iteration, our procedure exits the loops to generate test data when the DN is encountered in case of 1, 2, random  $n$ ,  $max$  and  $min$  loops. In [2, 5] for loop fragment, the coverage criterion satisfies at least one scenario reaching the loop and the body of the loop is only executed once. Our method is that test scenario containing test data are generated if satisfying the constraints along the scenario in case number of loops 0, 1, 2, random  $n$  and  $max$ ,  $min$  of loops.

**Execution time:** Algorithmic analysis of algorithms are complicated that is exceedingly difficult. The execution time of the procedure depends upon the number of decision nodes ( $D$ ), the number of paths ( $T$ ), and the constant  $k$  (split points). Moreover, if the procedure has to go through  $k$  attempts at each decision node, then that is  $k$  split attempts at the first decision, then  $k$  splits at the second decision for every attempt at the second decision, and so on, for a total of  $k^D$  split attempts. So the running time is  $T * k^D$ . Although the worst-case running time is exponential, the worst case can seldom be expected to be achieved in practice. Moving through the control flow graph dynamically allows path constraints to be resolved immediately, which is more efficient both in space and time, and more often successful than constraint-based testing. The dynamic nature of this procedure also allows certain improvements to be made in the handling of arrays, loops, and expressions. This procedure incorporates elements from the constraint-based testing domain reduction procedure, symbolic evaluation, and the dynamic test data generation approach. It integrates constraint satisfaction, symbolic evaluation, and a novel search process into one dynamic process. As compared with previous automatic test data generation procedures, we believe that the dynamic domain reduction procedure can be expected to be more likely to find a test case when a test case exists, and that implementations can be more effective and efficient.

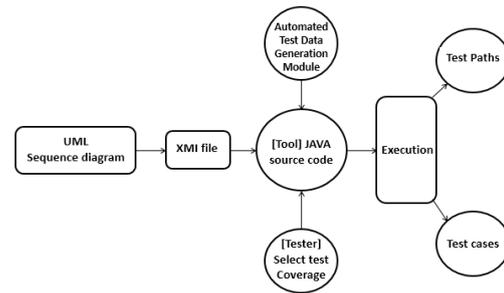


Fig. 5: Figure showing architecture of SequenceConcur.

## 6. Experiments

This section proposes a tool, SequenceConcur, developed to automate the proposed method. We report on a case study conducted to examine the method, and mutation analysis was used to evaluate its effectiveness.

### 6.1. Tool Support

In this sub section we discuss the results obtained by implementing the proposed method. The complete method is implemented using JAVA and JDK version 1.8. We have developed our method for generating test cases automatically from UML sequence diagrams and OCL in a tool prototype. The architecture of SequenceConcur is shown in Fig. 5.

The implemented tool is available at the site<sup>1</sup>.

The Tool consists of 1936 lines of code and has the following functionality:

- (i) Preprocessing: It imports the UML sequence diagrams and OCL in class diagrams (in XMI format). We used Enterprise Architect version 11 to produce the UML design artefact. The tool was developed using the proposed recursive algorithm (in Sect. 3) for generating CFG.
- (ii) Generating test scenarios: It generates test scenarios from CFG with respect to different concurrency coverage criteria and presents the generated scenarios for further analysis.
- (iii) Generating test data: It creates test data for each test scenario by improving dynamic

<sup>1</sup><http://www.uet.vnu.edu.vn/~hungpn/SequenceConcur/>

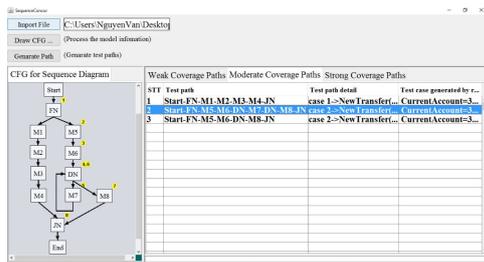


Fig. 6: Generated test paths for moderate coverage criterion.

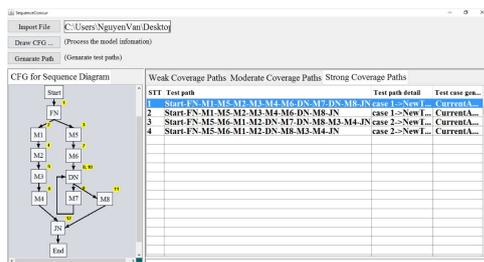


Fig. 7: Generated test paths for strong coverage criterion. domain reduction procedure [4]. The proposed procedure solves this test data for testing loops.

The weak concurrency coverage is one of case of the moderate coverage, so the tool only presents moderate coverage. The moderate coverage path tab presents the generated test scenarios satisfying the moderate coverage criterion (as shown in Fig. 6) and the strong coverage path tab shows the generated test scenarios satisfying the improved strong coverage criterion (as shown in Fig. 7).

### 6.2. Case study

In this sub section, we illustrate our test case generation from UML sequence diagram 2.0 and class diagram using an example called a bank transaction with account transfer functionality. A bank object is a main thread of the application that creates two additional threads thread1 and thread2. These two threads handle the money transfer between two accounts, saving account (accSaving) and current account (accCurrent). The operations of a money transfer are enclosed in a par combined fragment that represents a concurrent execution of the messages in this fragment. However, we assume that in the current

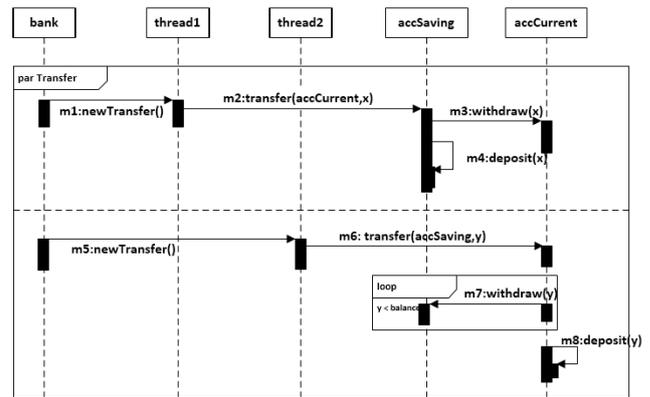


Fig. 8: The sequence diagram for Account Transfer in Bank system.

account type users can withdraw up to 5 times per day. In our study, we developed the case study which is relatively small in size but which covered most major improved features. Fig. 8 represents sequence diagram of account transfer functionality, and for the sake of brevity the class diagram (Fig. 9) shows only the specific classes that are involved in this function.

**CFG generation:** as part of CFG generation algorithm, the combined fragments and the message are enclosed in corresponding nodes (Fig. 7).

**Test scenarios generation:** to generate test scenarios, the CFG is traversed in our proposed algorithm (in Sect. 4). For concurrent system, by using Algorithm 4 test sequences would be able to uncover some of the concurrency issues. The account transfer functionality identifies the quality of the test scenarios generated by DFS, BFS and our method to uncover the concurrency errors (Table 1).

**Working of the test data generation algorithm:** consider the test scenario described by  $T3 = (Start-FN-M5-M6-M1-M2-DN-M7-DN-M8-M3-M4-JN-End)$ , we illustrate test data generation for the scenario T3. The predicates are shown on their associated edges, and the constraints and data type of variable are attached in block node. The variables *amount of money being withdrawn of two account type are x,y* and *the balance of account is balance*. All variables from class diagram are set with integer domain. The initial domains of input

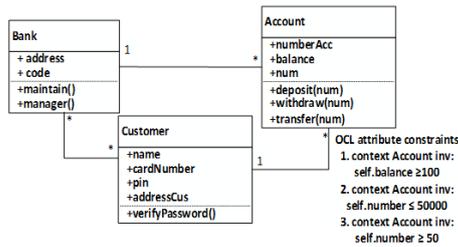


Fig. 9: The class diagram and constraint OCL for Account Transfer.

variables  $x,y$  and  $balance$  are:  $x,y:[50,50000]$  and  $balance:[100,65535]$  (because  $balance \geq 100$  and  $balance$  is integer variable).

Assume that the test path T3 with 2 loops, our algorithm marks and encounters decision node DN that traversed. If DN is 2, the test path is: *Start*-M5-M6-M1-M2-DN-M7-DN-M7-DN-M8-M3-M4-*End* The sub path of M5-M6-M1-M2 introduces no change to the input variables. To take the branch from node DN to M7, the predicate  $y < balance$  and  $y: [50,50000]$  and  $balance : [100,65535]$ . Therefore, domain of variables indicate split point in the fourth case, and  $splitPt = (right.top - left.bot) * pt + left.bot = (65535 - 50) * 1/2 + 50 = 32792$ , so  $y : [50, 32792]$ ;  $balance : [32793, 65535]$ . Traverse block node M7, withdraw( $y$ ) because the smallest amount of money withdrawn is 50, so  $balance$  reduces 50, thus  $balance: [32743, 65485]$ .

The next time through the loop, we have the same predicate, domain of variables is in the fourth case  $splitPt = (65485 - 50) * 1/2 + 50 = 32767$ , thus  $y : [50, 32767]$  and  $balance : [32768, 65535]$ . Get on traversing M7, withdraw( $y$ ) because the smallest amount of money withdrawn is 50, so  $balance$  reduces 50, thus  $balance : [32718, 65485]$ .

The final time through the loop, the branch from DN to M8, that domains of variables are in the fourth case and  $splitPt = (65485 - 50) * 1/2 + 50 = 32767$ , because the predicate  $y \geq balance$ , thus value of  $y$  is 32767 and domain of  $balance$  is  $[32718, 32766]$ . Therefore, for test scenario T3 reduced domain of test data are:  $y = 32767; balance : [32718, 32766]$  and  $x : [50, 50000]$ .

### 6.3. Evaluation

In sub section, we attempt to prove the fault-detection capability of the test suite generated using the proposed method.

#### 6.3.1. Metrics

The effectiveness of the proposed method was measured using the mutation score (MS), which indicates the adequacy of a test suite for the program under test.

#### 6.3.2. Experimental procedure

Preprocessing, generating test scenarios and test data using SequenceConcur: we used SequenceConcur to parse the UML sequence diagram (in an XMI file) and OCL for bank transaction. During the transformation, all branches and concurrent flows were represented as CFG. The tool generated a set of test scenarios from the graphs based on a given coverage criterion.

We generated test data by using algorithm in Sect. 5 (special in case of testing loops), from which we selected only those satisfying the generated test scenarios to be in the test suite. As a result, we selected 20 test cases for each test scenario- when the improved strong coverage criterion was used, four test scenarios were created in our experiments.

Seeding faults: in the study, an open-source mutation system, muJava [14], was used to randomly seed faults into the Java program for bank transaction. Using the muJava system, 9 method-level and 5 class-level operators were applicable for our study. In these applicable operators, a total of 351 method-level and 26 class-level mutants were generated.

Executing tests and collecting the results: We next applied each test in the test suite to both the original program and the mutants, comparing the output. If the output was the same, then the current test passed; otherwise, a fault was detected.

#### 6.3.3. Results and analysis

**Data safety error uncover capability:** In our case study, the messages  $m_2$  and  $m_6$  in par

Table 1: Test scenarios generated by DFS, BFS and our algorithm and last column indicates data safety error uncover capability

Algorithm	Test scenarios	Error uncover capability
DFS	Start-FN-M1-M2-M3-M4-M5-M6-DN-M7-DN-M8-JN-End	No
	Start-FN-M5-M6-DN-M7-DN-M8-M1-M2-M3-M4-JN-End	No
BFS	Start-FN-M1-M5-M2-M6-M3-DN-M7-DN-M4-M8-JN-End	Yes
	Start-FN-M5-M1-M6-M2-DN-M7-DN-M3-M8-M4-JN-End	Yes
Our algorithm	Start-FN-M1-M5-M2-M3-M4-M6-DN-M7-DN-M8-JN-End(T1)	Yes
	Start-FN-M1-M5-M2-M3-M4-M6-DN-M8-JN-End(T2)	Yes
	Start-FN-M5-M6-M1-M2-DN-M7-DN-M8-M3-M4-JN-End(T3)	Yes
	Start-FN-M5-M6-M1-M2-DN-M8-M3-M4-JN-End(T4)	Yes

Table 2: The MS results using the strong concurrency coverage criterion for each test scenario

Level	Number of test cases	Test scenario 1	Test scenario 2	Test scenario 3	Test scenario 4
Method-level	size = 2	51.2%	40.5%	45.7%	50.2%
	size = 10/20/30	56.5%	55.7%	47.7%	60.4%
Class-level	size = 2/10/20/30	74.5%	74.5%	81.5%	81.5%

fragment have shared data, and safety of data is more important. A test scenarios generated by algorithm should be able to uncover data safety errors. We use and compare DFS, BFS and our algorithm in generating the test scenarios (in Table 1) from CFG.

DFS algorithm generates test scenarios including test sequences that are not capable of finding data safety errors because it does not allow interleaving between the messages of two operands in par fragment. The test scenarios are generated by BFS and our algorithm that are capable of finding data safety errors. However, BFS algorithm does not generate the test sequences in case of *zero* loop while our method uses with two parts, false part and true part that means *zero* and more than *one* iteration. Test data are also considered to get on with 2, random *n* and *max, min* loops.

**Fault– detection capability:** In order to study the impact of the test suite size on the effectiveness of the proposed method, we varied the size to be 2, 10, 20 and 30 test cases per scenario. We further compare the fault- detection

effectiveness of our proposed method with that of random testing, comparing their MS scores for the same numbers of test cases. Table 2 presents the MS results for each test scenario, displayed according to 'Method-level and Class-level. From the table, we can observe the following: (i) For both method-level and class-level faults, the generated test suite shows a good fault-detection effectiveness, the test case generated were able to detect more than 40.5% of method-level faults and were able to detect more than 74.5% of the class-level faults. (ii) the test suites derived for different test scenarios have a different fault-detection capability. Because the evaluation results for different test suite sizes are the same in case of 10,20,30 test cases per scenario for both method-level faults and class-level faults, our method does not need a large number of test cases for each scenario.

Table 3 presents the MS results of both our method and the random method. From the table, we can observe the following: (i) for the same sizes, test suites generated by our method achieve higher mutation scores than those achieved by

Table 3: The mutation score MS results of our method and the random method

Number of test cases	Level	Total mutants	Our method		Random Method	
			Total killed mutants	MS	Killed mutants	MS
<i>size</i> = 2	Method-level	351	261	74.3%	139	39.6%
	Class-level	26	26	100%	23	88.4%
	Total	377	287	76.2%	162	42.9%
<i>size</i> = 10/20/30	Method-level	351	266	75.7%	153	43.5%
	Class-level	26	26	100%	26	100%
	Total	377	292	77.5%	179	47.4%

the random method, with the differences being more prominent when the size is small (with a size of two, their MS are 76.2% and 42.9%, respectively). (ii) regardless of test suite size, the test suites generated by our method can detect 100% class-level faults while it can detect only 88.4% by the random method.

The experimental results show that, the test suite generated using our method can detect more than 76% of seed faults with a very small size of test suite (one test case per scenario). Furthermore, more than 74% of method-level faults and 100% of class-level faults can be detected by the generated test cases. For the same situations, our method achieved a higher mutation score than random testing. These results indicate that the proposed method is both effective and efficient.

## 7. Conclusions

The paper presented the automated test data generation method based UML sequence diagrams, class diagrams and OCL. The method supports UML 2.0 sequence diagrams including eight kinds of combined fragments due to improved control-flow graph generation technique. The key idea of this method is to generate all possible test scenarios in special case of exploring the message sequence with their possible interleaving in par or seq fragments. The test scenarios generation method also avoids test explosion by selecting switch point. Therefore, concurrency errors of systems can be found. In

addition, the new point is to generate test data in testing loop fragments when comparing with current approaches, test data is generated in case of body of loop that is only executed once. The method supports different coverage criteria and can therefore test concurrent processes quite effectively. Finally, we have implemented a tool to automate the proposed method and conducted the case study (bank transaction) to validate its feasibility and effectiveness.

We are investigating to determine infeasible or feasible test scenarios when there is no input data for them to be executed. We also are going to extend the proposed method for other UML diagrams (e.g., state-chart diagrams, activity diagrams). Moreover, we would like to further investigate and evaluate the fault-detection effectiveness, and costs, of the proposed concurrency coverage criteria.

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