



1 Article

2 Content Adaptive Frame Skipping for Wyner-Ziv

3 based Light Field Image Compression

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14	Ι	Received: date: Accepted: date: Published: date

15 Abstract: Light Field (LF) imaging introduces attractive possibilities for digital imaging such as 16 digital focusing, post-capture changing of the focal plane or view point, and scene - depth 17 estimation, by capturing both spatial and angular information of incident light rays. However, LF 18 image compression is still a great challenge, not only due to light field imagery requiring a large 19 amount of storage space and transmission bandwidth but also due to the complexity requirements 20 of various applications. In this paper, we propose a novel LF content adaptive frame skipping 21 compression solution by following a Wyner-Ziv (WZ) coding approach. In the proposed coding 22 approach, the LF image is firstly converted into a four dimensional LF (4D-LF) data format. To 23 achieve high compression performance, we select an efficient scanning mechanism to generate a 4D-24 LF pseudo-sequence by analyzing the content of the LF image with different scanning methods. In 25 addition, to further explore the high frame correlation of the 4D-LF pseudo-sequence, we introduce 26 an adaptive frame skipping algorithm followed by decision tree techniques based on the LF 27 characteristics, e.g., the depth of field, angular information, etc. Experimental results show that the 28 proposed WZ-LF coding solution achieves an outstanding rate-distortion (RD) performance while 29 requiring less computational complexity. Notably, bit rate savings of 53% compared to the standard 30 High Efficiency Video Coding (HEVC) Intra are reported.

- 31 Keywords: Light field Image coding; Wyner-Ziv theorem; High Efficiency Video Coding
- 32

33 1. Introduction

34 1.1. Context and motivations

35 Light Field (LF) rendering is known as an attractive form of image-based rendering (IBR) [1, 2], 36 which collects immense amounts of image data because the intensity of light rays traveling in every 37 angle at every point in 3D space is captured [3]. Thus the LF image data includes information such 38 as location/point, x, y, z, angle/direction, θ , ϕ , wavelength, γ , and the time, t, for light rays captured 39 in the scene. This is defined as the Plenoptic function, P_{LF} , and explains the huge amount of data 40 stored in each LF image, as an LF image can include 7D information, $P_{LF}(x, y, z, \theta, \phi, \gamma, t)$ [3]. A raw 41 LF image is composed of micro-images (MIs), and a set of sub-aperture images (SAIs) are obtained 42 by rearranging the co-located pixels from each MI. A SAI corresponds to a captured image of a scene 43 from a particular point of view, which varies slightly between two different SAIs [4]. In addition, 44 information about the parallax and depth of an image scene can be provided by comparing SAIs. In

practice, a set of constraints is introduced to the plenoptic function to reduce the complexity of LFinformation, which is reduced to a still extensive 4D function as below:

$$P_{LF} = L(u, v, x, y) \tag{1}$$

47 Here, the light intensity P_{LF} is indexed by the sub-aperture image (viewpoint), (*x*, *y*), and the 48 position (angle) within the sub-aperture image, (*u*, *v*), respectively.

49 As an example of a LF imaging technology, LF cameras have become a promising tool for various 50 research areas, e.g. richer photography using the Lytro Illum [5], material analysis using the Raytrix 51 [6], medical imaging [7], and biometric recognition [8]. As a result of the enormous size of large photo-52 realistic LF images, typically 1GB [9], data compression is, therefore, a challenge in LF data for 53 storing, processing, and transmission. Recently, the Joint Photographic Experts Group (JPEG) 54 committee has started an activity for standardization called JPEG Pleno [10] which includes LF, Point 55 Cloud, and the Holography [11]. The proposal provides a LF representation and coding with 56 optimized viewing and resolution for a huge amount of data, thus, an efficient coding solution with 57 high compression performance is of the utmost importance.

58 In the literature, various techniques and methods for LF compression have been introduced, 59 especially in LF lenslet coding and 4D-LF coding. The LF lenslet format is a compact version of the 60 LF data, which represents the LF data as a massive hexagonal array of lenslets (MIs) and requires 61 additional camera metadata in order to render images of the scene. In [12 - 16], exploiting the LF 62 lenslet compression, most of the conventional image and video coding methods are applied to exploit 63 the existing spatial redundancy of MIs within a raw LF lenslet such as JPEG, JPEG2000 and HEVC 64 intra coding. The idea is based on the concept of self-similarity compensated prediction [12]. To 65 explain, a block-based matching algorithm is utilized to manage the most suitable predictor block for 66 the current block, which compares to the previously coded and reconstructed range of the current 67 image. With two different candidate blocks, the predictor block can be generated. Also, works in [13, 68 14] propose to add new coding modes to the HEVC coding tools (i.e., locally linear embedding based 69 prediction) and adapt the intra prediction scheme in HEVC coding tools, respectively. In addition, to 70 exploit data geometry for dimensionality reduction of LF, works in [15, 16] present coding schemes 71 for LF based on low rank approximation. Likewise, in [17], the author uses the disparity compensated 72 prediction method to take advantage of the existing spatial redundancy. In addition, the High Order 73 Prediction (HOP) model is also considered as a method to achieve compression as in [18]. Based on 74 a geometric transformation between the current block and the reference region, this method provides 75 a high order intra block prediction method by adding HOP to HEVC intra prediction modes. 76 Moreover, in recent works, an objective performance assessment of LF lenslet representation is 77 investigated in [19]. The LF lenslet is used with YUV 4:4:4 encoding with 10 bit/sample which 78 performs well in terms of coding efficiency for different color subsampling formats. In regard to the 79 repeating patterns of lenslets in this representation, screen content coding (SCC) [20] is an efficient 80 encoder for LF image compression; thus, the work in [21] presents an efficient lenslet image coding 81 model which applies SCC to encode LF lenslet. Based on the plentiful repeating patterns of the LF 82 lenslet representation, this approach is faster and more powerful than the SCC standard with an even 83 faster decoding time.

84 On the other side, 4D-LF represents the LF data as a stack of sub-aperture images (SAIs), 85 generated from lenslets of LF camera. In the 4D-LF coding approach, generating the 4D-LF pseudo-86 sequence is a well-known approach for LF compression. This approach is about shifting LF data from 87 the still image coding aspect into the video coding aspect. The sub-aperture array is defined as a 88 pseudo-sequence of different views of LF images and compressed as a video sequence. Since the first 89 exploration of the LF scanning order in [22, 23], several approaches and a variety of scanning orders 90 can be examined seeking a higher redundancy among SAIs and increased compression efficiency [24-91 26]. For the inter-frame coding mode of a video codec, the similarity between SAIs is a significant 92 parameter in the compression performance. In [24], 4D-LF pseudo-sequence is created by organizing 93 SAIs from the lenslet array structure. Nevertheless, the coding order and reference frame 94 management are implemented coarsely in a way that does not adapt to specific scenarios. In [25], the 95 author presents a solution to exploit information fully among different views. A hierarchical coding

order is applied to encode the 2-D coding structure with the selected number of frames used. Based
on different scanning orders in [26], the greater the viewpoint distance between SAIs, the less
similarity between SAIs. Additionally, the recent work in [27], presents an efficient coding strategy
to convert the model parameters into a bitstream, which is well suited for 4D-LF compression.

100 According to the literature, the LF coding has achieved encouraging results with predictive 101 video coding, i.e., H.264/AVC, H.265/HEVC. However, the conventional predictive video coding 102 paradigm mostly focuses on one-to-many applications which results in complex encoders but simple 103 decoders, it is not suitable with simpler encoders of the emerging applications recently such as visual 104 sensor networks, remote sensing, or visual-based Internet of Things (IoTs). In regard to the other 105 alternative coding possibilities, three-dimensional discrete wavelet transform based video coding (3-106 D DWT) [28] and compressive sensing (CS) based video coding [29] may also be selected for emerging 107 video applications due to their low encoding complexity requirements. However, in spite of the fast 108 video coding provided by these techniques, 3-D DWT and CS based video coding approaches still 109 require a large amount of encoding memory and have inferior rate-distortion performance when 110 <mark>compared to the relevant intra-frame encoding codecs, e.g., H.265/HEVC</mark>. In this context, Wyner-Ziv 111 (WZ) coding [30], a lossy distributed coding paradigm [31], introduces a low encoding complexity 112 capability that the motion estimation part at the encoder side is shifted to the decoder side. This 113 coding approach has successfully been applied to many different forms of video and emerging 114 applications, such as, natural image analysis, hyperspectral images, sensor networks, and wireless 115 video, etc. WZ coding provides different coding techniques compared to conventional video coding, 116 notably a flexible distribution of the codec complexity, high compression and inherent error 117 robustness [32].

118 This type of coding manages to encode separately individual frames, which are in turn decoded 119 conditionally to achieve similar efficiency to standard coding. The first WZ coding approach in [33, 120 34], was applied to the video signals in real-world with improved error resilience. In regards to WZ 121 coding with LF images, the several LF image compression approaches have been proposed [35-38]. 122 In particular, the performance of distributed video coding for light field content is analyzed in [39]. 123 In [40], the LF images are compressed by WZ coding for random access. Taking advantage of the WZ 124 coding structure, the images are independently encoded by a WZ encoder while previously 125 reconstructed images are applied as SI at the receiver to exploit similarity among LF images. The 126 results present a significant compression performance compared to intra coding while maintaining 127 the random access capabilities. Hence, this is a promising recent coding solution for LF images.

128 1.2. Contributions and paper organization

129 In regards to LF image coding requirements and WZ coding, the biggest challenge is the 130 transmission of LF content to multiple end-users with different display devices and applications, 131 meanwhile controlling and retaining the quality of an immense amount of data. In this sense, an 132 efficient LF coding architecture is of utmost importance, thus, extending and improving the work in 133 [41], we propose a novel content adaptive frame skipping for LF images compression solution by 134 following the distributed coding approach, to achieve efficient compression performance for LF data 135 while providing a low encoding complexity solution. The contributions of this paper can be 136 summarized as:

- An advanced WZ based LF image compression solution: the well-known WZ coding approach is enhanced by improving compression performance at the key frame encoder/decoder with state-of-the-art video compression H.265/HEVC [42] while the advantage of the low-complexity of the WZ procedure is utilized on the side of WZ frame encoder/decoder. Also, an advanced channel codec, i.e. LDPC codec [43] is applied in this WZ coding approach to get capacity approaching performance and flexible code designs using density evolution [44].
- An efficient content-driven LF image reordering mechanism: the different scanning methods may affect different results depending on video contents and characteristics. Based on the high-correlation of SAIs and different content types of LF images, 4 scanning methods (i.e.,

- spiral scan, hybrid scan, U-shape scan, and raster scan) are evaluated thoroughly in order to
 select the most efficient scanning methods for LF images, and also improve further the
 performance of our WZ coding solution.
- An adaptive skip mode decision algorithm: to further improve the proposed WZ-LF image coding paradigm, an adaptive skip mode decision is introduced with using a decision tree rule-based method, which is based on the changes of spatial and temporal features of the LF content sequences. The associated side information will be used as the final reconstructed frame when the skip mode is applied to WZ frames.
- 155 The remainder of this paper is organized as follows. Section 2 describes the overview of 156 proposed LF coding architecture. Section 3 presents the novel content adaptive frame skipping 157 algorithm. Afterward, Section 4 analyzes the experimental results, while Section 5 sums up all the 158 conclusions in the paper and describes the future work.

159 2. Overall Wyner-Ziv based Light Field image compression

160 This section presents in detail the WZ-LF image compression solution. In order to get the best 161 performance of the solution, an efficient scanning order based on LF content is analyzed, together 162 with the introduction of a content skip algorithm.

163 2.1. Proposed WZ-LF architecture

To achieve the efficient compression performance for transmission and storage of LF images, Figure. 1 illustrates the proposed WZ coding-based LF image compression architecture. The proposed WZ-LF coding is strengthened compared to the original WZ architecture from B. Girod [31], by improving compression performance at the key frame encoder/decoder with state-of-the-art video compression codec H.265/HEVC Intra. As shown, the LF image can be processed in the following steps.



170 171

Figure. 1. Proposed WZ-LF image compression architecture

172 • At the encoder:

The LF data is firstly unpacked and decoded into the 4D-LF representation. The SAIs within the 4D-LF are then grouped into a pseudo-sequence using an efficient scanning order, which is described in the next sub-section. The LF image compression problem is now cast as a common video coding problem. The first frame of every group of pictures (GOP), called a key frame, is encoded using the recent H.265/HEVC intra coding approach [42], with only the spatial correlation employed; thus, low complexity and error robustness can be achieved. For the remaining WZ frames, the following steps are performed: 180 1. Skip mode decision:

181 In this module, the skipping decision is activated based on a decision tree algorithm [45]. The 182 key frames and WZ frames are used to determine the skip or non-skip WZ frames by identifying 183 texture information and motion activity in the 4D-LF pseudo-sequence. The several features are 184 computed to detect changes of spatial and temporal characteristics in the video sequence, e.g., Sum 185 Absolute Difference (SAD), Gradient Magnitude Similarity (GMS), and Variance of block (VAR). 186 These features will be explained in the next sub-section. A rule-based method with decision tree will 187 calculate the value of decision nodes based on the features to make the skipping decision. When the 188 skip mode decision is activated, the WZ frames will be skipped in the normal WZ encode and decode 189 procedure and the associated side information will be used as the final reconstruction frames. This 190 process is explained in detail in next sub-section.

191 2. Discrete cosine transforms (DCT):

192 For WZ frames, the discrete cosine transform (DCT) is used to exploit the statistical 193 dependencies within a frame. The DCT is applied to each 4 × 4 block for WZ frames. By breaking 194 down the image into 4 × 4 block of pixels, arranged from left to right and top to bottom, the WZ 195 frames are transformed using a 4×4 DCT. Since the DCT operation has been started, the standard 196 Zig-Zag scan order [46] within the 4×4 DCT coefficient blocks will group the DCT coefficient bands 197 together. The coefficients are organized into 16 bands after being processed in Zig-Zag scan order. 198 The direct current (DC) band and the alternating current (AC) band are defined as low frequency 199 information for the first band and high frequency information for the remaining bands, respectively. 200 3. Uniform quantization:

In order to encode WZ frames, a quantizer is then applied to each DCT band individually utilizing a predefined number of levels, which depends on the target quality for the WZ frame. By utilizing a uniform scalar quantizer with higher number of levels (i.e. with lower step sizes), the lower spatial frequencies of the DCT coefficients are processed. Meanwhile, with a lower number of levels, the higher frequency coefficients are more coarsely quantized without significant degradation in the visual quality of decoded image. Similar to [47], 8 different types of quantization matrices were adopted in the proposed LF compression scheme to target various qualities and data rates.

208 4. Low density parity check (LDPC) encoding:

209 In this work, to take advantage of lower complexity in contrast to turbo codes [48], we employ 210 a known Low Density Parity Check Accumulator (LDPCA) channel encoder as the WZ encoder. A 211 LDPCA encoder comprises an LDPC syndrome-former integrated with an accumulator. By using 212 LDPC code and modulo 2, syndrome bits are established and produce the accrued syndrome for 213 every bit plane. The accrued syndromes are saved in a buffer of the encoder, then transmission of 214 only a few of the syndromes in chunks is started. In case of failure at the decoder, a feedback channel 215 is utilized in the encoder buffer in order to transmit more accrued syndromes. By transmitting an 8-216 bit cyclic redundancy check (CRC) sum of the encoded bit plane, the decoder is provided with the 217 ability to detect residual errors.

- At the decoder
- 219 1. SI generation:

220 The SI is known as WZ frame estimation and is generated by a frame interpolation algorithm 221 [49] with two consecutive decoded key frames at the decoder side. The SI is also considered a noisy 222 version of the original WZ frames with a reciprocal relationship between the number of parity bits 223 (or bit-rate) and its quality of noise estimation, i.e., the better quality of estimation, the smaller the 224 bit-rate received. By estimating the correlation between original WZ frame and the SI correctly, the 225 decoding performance can be greatly improved. The better the quality of SI that is interpolated, the 226 better the quality of the final reconstructed WZ frame that can be achieved. Regarding correlation 227 between frames, the 4D-LF pseudo-sequence are a series of frames with high correlation due to the 228 characteristics of the LF image. Thus, achieving the best quality of SI gives a huge advantage in 229 achieving impressive decoding performance.

230 2. LDPC decoding

231 In this part, we describe the decoding of a bit plane given the soft input estimations of the SI and 232 the parity bits transmitted from the encoder. From the decoder, in the case of an increase in the 233 number of parity bits, the decoding procedure is then looped. Additionally, to do an inverse 234 accumulation activity from the encoder, the syndrome bits are removed from the received parity bits 235 before the beginning of the procedure. On these syndrome bits, the operation of Sum-product 236 decoding is performed. These instructions are considered as a soft decision algorithm with the 237 probability of each received bit as input. Additionally, when the decoded bit plane matches the value 238 received from the encoder with the CRC sum registration, it is considered as effective decoding. Then, 239 the decoded bit plane is sent to the inverse quantization and reconstruction module.

240 3. WZ frame reconstruction

241 In WZ frame reconstruction, the decoded quantized symbol stream related to each DCT band is 242 formed through all the bit planes related to that band. When all decoded quantized symbols are 243 received, all DCT coefficients are reconstructed with the support of the corresponding SI coefficients 244 and estimated correlation information between the original WZ and SI frames. It should be noted 245 that in the proposed scheme, a correlation noise estimation process is performed at the decoder side 246 and used as a decoder rate control mechanism. The corresponding DCT SI bands are chosen when 247 the DCT coefficients bands with no parity bits are transmitted. The WZ frames and the reconstructed 248 frames are then applied to the reconstruction function to bound the error.

249 2.2. Efficient sub-aperture images arrangment

250 Recently, a scanning order method has been developed based on the optimized reference picture 251 selection for LF image coding with H.265/HEVC low-delay configuration [50]. However, this method 252 is not suitable for our proposed WZ-LF codec, which encodes and decodes KEY and WZ frames with 253 an Intra coding approach. Therefore, in order to select an efficient SAIs arrangement, several scan





(c) U-shape

(d) Hybrid





Fig. 3. RD performance between different scanning methods

254 paths of sub-aperture images as shown in Figure. 2 are examined such as spiral, raster scan, U-shape, 255 and hybrid scanning approaches [26]. Combing the raster and U-shape scanning order, the hybrid 256 scanning order takes the most advantage from the similarity of adjacent views both horizontally and 257 vertically. However, due to varying angles between SAIs, it is observed that the temporal correlation 258 along SAIs may be changed by different scanning orders, also with different LF content. Moreover, 259 the compression performance of the 4D-LF pseudo-sequence can be affected by some specific content. 260 Therefore, in this section we thoroughly evaluate scanning order to verify the most effective scanning 261 order with LF images.

To begin with the content-driven considerations, a set of LF data is collected from [51] with different contents and categorized into two types: wide and narrow. The wide LF content type includes wide depth of field (WDOF), wide depth of field with subject layer (WDOF-L), and blurry content (BC); while the narrow type includes narrow depth of field (NDOF), narrow depth of field with a focus on one main subject (NDOF-1), and narrow depth of field with a focus on more than two subjects (NDOF-2).

In regard to scanning methods, the four types of scanning order, i.e., spiral scan, hybrid scan, Ushape scan, and raster scan, are applied and computed to determine the most efficient scanning order for LF images. The three following LF images, i.e., Spear Fence 2 (NDOF), Stairs (NDOF-1), and Swan-1 (WDOF-L), are selected for evaluation with a temporal frequency of 15 Hz, 193 frames, and encoded

by the H.265/HEVC codec.

From the RD performance results in Figure. 3, the spiral scanning method may be considered the most suitable for LF images as it achieves the better results than the other scanning methods. Therefore, to exploit the best performance of our proposed WZ-LF coding solution, the spiral scanning method is chosen and the performance is evaluated in detail in the next section.

- 277 3. Content adaptive frame skipping algorithm
- 278 3.1. Observation

Distributed video coding is well-known for low encoding complexity capability and providing
 various advantageous coding techniques, i.e., flexible distribution of the codec complexity, high
 compression, and inherent error robustness [32]. This coding is suitable for many different forms of

video emerging applications, i.e., sensor networks, wireless video, surveillance video, etc.



Temporal Motion Comparison

Fig. 4. Motion comparison between 4D-LF pseudo-sequences (4D-LF) and natural sequences (NS)

The different motion of video sequences (i.e., low-motion and high-motion) are considered to affect to the compression performance of the codec. The low-motion and high-motion sequences refer respectively to high correlation and low correlation between each frames. Based on the sequence motion, it is observed that the distributed video coding approach in common low-motion sequences (e.g., Hall monitor, Akiyo) achieves better compression performance in comparison to traditional codecs, while the compression performance degrades for high-motion sequences (e.g., Soccer, Foreman) [47].

According to the LF characteristic, it is observed that adjacent views in the 4D-LF pseudosequences both horizontally and vertically exhibit higher similarity with each other. Therefore, the 4D-LF pseudo-sequences are mostly considered low-motion sequences compared to natural videos according to SAD values as shown in Figure. 4

It is noted that the frames of 4D-LF pseudo-sequence are extremely high-correlation as shown in Figure. 4, so, skipping the most similar frames may achieve the efficient compression performance. Therefore, an adaptive frame skipping mechanism based on a decision tree is introduced in our WZ-LF coding solution, and is described in detail in the following section.

298 3.2. Decision tree based adaptive frame skipping

Following the analysis of LF data types in the previous sub-section, different data types and different scanning orders can lead to different values of these features, because each SAI represents a different perspective. In this work, we apply the Iterative Dichotomiser 3 (ID3) algorithm [45] to the frame skipping decision based on an offline training model with spatial and temporal features of the 4D-LF pseudo-sequence.

Based on the high correlation of SAIs and the WZ-LF architecture, the motion activity of the key frames is important to identify. Thus, the two discriminative temporal features are utilized for detecting changes in the motion of the SAI key frames, i.e., FT_{SAI_SAD} - the sum of absolute difference of SAI key frames, and FT_{SAI_GMS} - the similarity of gradient magnitude employed with the Scharr operator [52]. The temporal features are computed as follows:

$$FT_{SAI_SAD} = \sum_{x=0}^{N-1} \sum_{y=0}^{M-1} |KEY_a(x, y) - KEY_b(x, y)|$$
(2)

309 Where, KEY_a and KEY_b are two consecutive SAI key frames, (x, y) is the pixel location in the 310 SAI key frames with size of *N*×*M*.

$$FT_{SAI_GMS} = \frac{2GKEY_a(i)GKEY_b(i) + C}{GKEY_a^2(i) + GKEY_b^2(i) + C}$$
(3)

311 Where $GKEY_a(i)$ and $GKEY_b(i)$ are the gradient magnitude of the two consecutive SAI key 312 frames at *i* pixel location, and *C* is a positive constant for equation stability. $GKEY_a(i)$ and 313 $GKEY_b(i)$ employ the convolution operation \otimes in the horizontal D_x and vertical D_y directions 314 following the Scharr filter, computed as:

$$GKEY_a(i) = \sqrt{(D_x \otimes KEY_a)^2 + (D_y \otimes KEY_a)^2},$$
(4)

$$GKEY_b(i) = \sqrt{(D_x \otimes KEY_b)^2 + (D_y \otimes KEY_b)^2}$$
(5)

In regard to texture information, the spatial feature is also an essential element in order to identify flat and non-flat regions in the SAI WZ frames of the 4D-LF pseudo-sequence. By identifying the difference in texture information, the block variance is selected for content image assessment, i.e.,

318 FT_{SAI_VAR} , and is computed as

$$FT_{SAI_VAR} = \sigma_{WZ}^2 \tag{6}$$

319 Where σ_{WZ}^2 is variance of the SAI WZ frames in the 4D-LF pseudo-sequence.

Figure. 5 shows the discriminative spatial and temporal features of the SAI key frames and WZ frames. Notably, the value of the spatial feature covers most of the flat regions (i.e., blurred regions or regions with low texture), while the value of the temporal features covers non-flat regions (i.e., regions with depth, contrast, and saturation complexity).



Original LF images

FT_{SAI_SAD} map

FT_{SAI_GMS} map

FT_{SAI_VAR} map

324

Figure. 5. The visualization of the spatial and temporal features, from top to down: Books and Bikes

325 The frame skipping mechanism is based on the technique wherein the texture and motion 326 activity of the two consecutive key frames and neighbor WZ frames of a 4D-LF pseudo-sequence is 327 used for the selection of frames to be skipped through a decision tree rule [45]. In order to establish 328 the skip and non-skip rules from the tree structure, an offline trained model is applied to the binary 329 decision tree. The optimal weights for the offline model are determined by computing all temporal 330 and spatial features for each LF content type. Based on that, the skip mode decision is considered for 331 activation or not. It should be noted that neither the sample data nor the weights are updated for the 332 offline model, thus, the offline model should be maintained continuously for the best accuracy. 333

333	Th	ne proposed algorithm is constructed as below					
334	The decision tree based adaptive frame skipping algorithm						
		Input: 4D-LF pseudo-sequence					
_		Output: Skip mode decision (i.e., skip or non-skip)					
		Initialize the data partitioning with WZ frames (WZ_{t+1}) and two consecutive Key frames					
		$\frac{(Key_t; Key_{t+2})}{(Key_{t+2})}$					
		Extract the attribute feature as following <i>FT_{SAI_VAR}; FT_{SAI_GMS}; FT_{SAI_SAD}</i>					
		Determine the threshold ThD based on the average value of each features.					
	1.	$ThD_0 = 0;$					
	<mark>2.</mark>	for t = 0,1,2,,(total_frame-2) do :					
	3.	$FT_{SAI_SAD} = \sum_{x=0}^{N-1} \sum_{y=0}^{M-1} KEY_{t+2}(x, y) - KEY_t(x, y) $					
	<u>4.</u>	$ThD_{SAI_SAD} = ThD_0 + FT_{SAI_SAD}$					
	<u>5.</u>	$AveThD_{SAI_SAD} = ThD_{SAI_SAD}/Total_frame$					
	<u>6.</u>	if $(ThD_{SAI_SAD} < AveThD_{SAI_SAD})$					
	<u>7.</u>	$FT_{SAL}SAD = 1$					
	<mark>8.</mark>	end if					
	<u>9.</u>	$FT_{SAI_GMS} = \frac{2GKEY_t(i)GKEY_{t+2}(i)+C}{GKEY_t^2(i)+GKEY_{t+2}^2(i)+C}$					
	<u>10.</u>	$ThD_{SAI_GMS} = ThD_0 + FT_{SAI_GMS}$					

$11. \qquad AveThD_{SAI_GMS} = ThD_{SAI_GMS}/Total_frame$	
<i>12.</i> if (<i>ThD_{SAI_GMS}</i> < <i>AveThD_{SAI_GMS}</i>)	
$13. FT_{SAI_GMS} = 1$	
14. end if	
$15. \qquad FT_{SAI_VAR} = \sigma_{WZ_{t+1}}^2$	
$16. ThD_{SAI_VAR} = ThD_0 + FT_{SAI_VAR}$	
$17. \qquad AveThD_{SAI_VAR} = ThD_{SAI_VAR}/Total_frame$	
$18. if (ThD_{SAI_VAR} < AveThD_{SAI_VAR})$	
$19. FT_{SAI_VAR} = 1$	
20. end if	
21. end for	
Establish a selection method for the optimal weights W	
22. W=2	
23. if $(FT_{SAI_{SAD}} + FT_{SAI_{GMS}} + FT_{SAI_{VAR}} \ge W)$	
24. Skip_mode_decision = 1	
25. else	
26. Skip_mode_decision = 0	
27. end if	
28. The skip mode decision is activated if the frame meets the optimal weights (W)	
29. Generate the mode decision	

335 4. Performance evaluation

336 4.1. Test conditions

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For emerging applications scenarios such as visual sensor networks, remote sensing, or camera surveillance, low resolution imagery is more common than high-resolution, thus, we examine in this paper a low resolution version of 12 common LF images (shown in Figure.6) by down-sampling to QCIF resolution with a temporal frequency of 15Hz.



345

TABLE 1. LIST OF DATASETS USED FOR TRAINING					
LF Training Samples	Category	Content Types	Thumbnail Samples		
Houses and lake	Landscapes	WDOF			
Backlight 2	Light	-			
Rolex learning center	Buildings	WDOF-L			
Reeds	Landscapes	-	an antiger the Party of the		
Backlight 1	Light	-			
ISO chart 15	ISO and color charts	BC	I INTINAL I		
Perforated metal 2	Grids	-			
			T		
Slab and lake	Landscapes	NDOF			
Bush	Nature	-			
Wall decoration	Urban	-			
Sewer drain	Urban	NDOF-1	TITLE OF STREET		
Sophie and Vincent 2	People	-			
Ankylosaurus and Diplodocus 2	Studio	-	11125 13		
Bikes	Urban	NDOF-2			
Danger de mort	Grids	-			
Stone pillars outside	Urban	-	1/20/1		

346 Based on the high-correlation of SAIs in the 4D-LF, the proposed WZ-LF coding solution is 347 especially suitable for these emerging applications. Similarly, the datasets used for training are 348 presented in Table 1 with 16 LF training samples. This dataset is collected from [48] and covers 349 different categories and content types. To assess the performance of the proposed LF compression 350 solution, these LF images are examined with the relevant coding benchmark H.265/HEVC [42] and 351 HEVC based DVC codecs [53]. The comparison analyzes two parts, i.e., the overall rate-distortion 352 (RD) performance and the specific coding tools performance. Regarding the development 353 environment, the proposed WZ-LF coding solution is developed using the C language through Visual 354 Studio 2015, and integrated with the state-of-the-art H.265/HEVC Intra.

355 4.2. Overall WZ-LF compression performance evaluation

356 Regarding the compression performance, RD performance is widely utilized for quantifying 357 video coding schemes through use of the Bjøntegaard Delta (BD)-PSNR and Bjøntegaard Delta (BD)-358 Rate [54]. Figure. 7 presents the RD curve comparison between the proposed WZ-LF coding solution 359 and the other relevant benchmarks, i.e., HEVC inter and intra [42] and HEVC based DVC labelled as 360 DVC-H.265/HEVC [53]; while BD-Rate and BD-PSNR are computed in Table 2. From the observed 361 results, some conclusions can be derived as following:

362 WZ-LF versus DVC-H.265/HEVC: Since the proposed codec has a similar approach to the DVC-• 363 H.265/HEVC, but with an significant improvement of coding tools. The proposed WZ-LF 364 achieves impressive results for compression performance compared to DVC-H.265/HEVC by

365 reducing the bit-rate in total by about 25%. Also, taking into account the adaptive mode decision





Figure. 7. Overall RD performance evaluation of the proposed WZ-LF coding solution

in frame skipping, the WZ-LF architecture achieves a significant gain of almost 2 dB incompression performance with different content types.

368 WZ-LF versus H.265/HEVC Intra: The advantage of proposed coding solution is applied to the • 369 intra coding solution at the encoder side and inter coding solution at the decoder side. Thus, the 370 proposed WZ-LF can significantly improve the RD performance for all 4D-LF pseudo-sequences 371 with variety of content types. As shown, the content type average BD-Rate reductions of 53.14%, 372 52.53%, 53.22%, and 53.18% are obtained for the proposed WZ-LF solution with content types of 373 WDOF, NDOF, NDOF-1, and NDOF-2, respectively. Hence, the obtained performance 374 improvement confirms the efficiency of the proposed skip mode decision in the WZ-LF 375 architecture.

TABLE 2.	[%] & BD-PSNR [dB] COMPARED TO HEVC [42] AND DVC				ND DVC-H	1.265/HEV	<mark>C [53]</mark>		
		WZ-LF	<mark>vs DVC-</mark>	WZ-	<mark>LF vs</mark>	WZ-LF	(anchor)	DVC-H.	<mark>265/HEVC</mark>
LF sequences	Content	H.265	/HEVC	<mark>H.265/HI</mark>	EVC Intra	<mark>vs H.26</mark>	5/HEVC	<mark>[53] (ar</mark>	nchor) vs
	<mark>Types</mark>	<mark>(anch</mark>	<mark>or) [53]</mark>	<mark>(anch</mark>	or) [42]	Inte	<mark>r [42]</mark>	<mark>H.265/H</mark>	<mark>EVC Inter</mark>
								[·	<mark>42]</mark>
		<mark>BD-</mark>	<mark>BD-</mark>	<mark>BD-</mark>	<mark>BD-</mark>	<mark>BD-</mark>	<mark>BD-</mark>	<mark>BD-</mark>	<mark>BD-</mark>
		<mark>Rate</mark>	<mark>PSNR</mark>	<mark>Rate</mark>	<mark>PSNR</mark>	<mark>Rate</mark>	<mark>PSNR</mark>	<mark>Rate</mark>	<mark>PSNR</mark>
Red and white building	WDOF	<mark>-24.40</mark>	<mark>1.89</mark>	<mark>-54.75</mark>	<mark>5.27</mark>	<mark>-87.06</mark>	<mark>11.78</mark>	<mark>-90.24</mark>	<mark>13.31</mark>
Black fence	WDOF-L	<mark>-21.41</mark>	<mark>2.04</mark>	<mark>-53.73</mark>	<mark>6.60</mark>	<mark>-81.84</mark>	<mark>11.70</mark>	<mark>-85.73</mark>	<mark>13.15</mark>
ISO chart 13	<mark>BC</mark>	<mark>-33.99</mark>	<mark>2.67</mark>	<mark>-50.95</mark>	<mark>4.60</mark>	<mark>-84.59</mark>	<mark>9.72</mark>	<mark>-89.74</mark>	<mark>11.45</mark>
Content Types Ave	erage	<mark>-26.60</mark>	<mark>2.20</mark>	<mark>-53.14</mark>	<mark>5.49</mark>	<mark>-84.49</mark>	<mark>11.06</mark>	<mark>-8857</mark>	<mark>12.63</mark>
<mark>Grave Garden</mark>		<mark>-17.59</mark>	<mark>1.51</mark>	<mark>-53.18</mark>	<mark>6.23</mark>	<mark>-82.75</mark>	<mark>10.38</mark>	<mark>-85.82</mark>	<mark>11.48</mark>
Chain link fence 1	NDOF	<mark>-22.06</mark>	<mark>1.71</mark>	<mark>-50.44</mark>	<mark>5.01</mark>	<mark>-63.54</mark>	<mark>6.35</mark>	<mark>-71.59</mark>	<mark>8.10</mark>
Game Board		<mark>-48.29</mark>	<mark>3.58</mark>	<mark>-53.98</mark>	<mark>3.82</mark>	<mark>-82.60</mark>	<mark>8.19</mark>	<mark>-91.09</mark>	<mark>10.73</mark>
Content Types Ave	erage	<mark>-29.31</mark>	<mark>2.26</mark>	<mark>-52.53</mark>	<mark>5.02</mark>	<mark>-76.29</mark>	<mark>8.30</mark>	<mark>-82.83</mark>	<mark>10.10</mark>
Bench in Paris		<mark>-15.88</mark>	<mark>1.48</mark>	<mark>-54.69</mark>	<mark>6.95</mark>	<mark>-82.85</mark>	<mark>10.91</mark>	<mark>-85.59</mark>	<mark>11.95</mark>
Caution Bees	NDOF-1	<mark>-24.53</mark>	<mark>1.67</mark>	<mark>-53.15</mark>	<mark>4.50</mark>	<mark>-84.37</mark>	<mark>9.03</mark>	<mark>-88.24</mark>	<mark>10.57</mark>
Fountain and bench		<mark>-20.29</mark>	<mark>1.47</mark>	<mark>-51.81</mark>	<mark>4.76</mark>	<mark>-79.10</mark>	<mark>8.52</mark>	<mark>-83.37</mark>	<mark>9.96</mark>
Content Types Ave	erage	<mark>-20.23</mark>	<mark>1.54</mark>	<mark>-53.22</mark>	<mark>5.40</mark>	<mark>-82.10</mark>	<mark>9.48</mark>	<mark>-85.73</mark>	<mark>10.82</mark>
Poppies		<mark>-32.92</mark>	<mark>2.45</mark>	<mark>-54.23</mark>	<mark>4.63</mark>	<mark>-80.49</mark>	<mark>8.31</mark>	<mark>-86.95</mark>	<mark>10.44</mark>
Mirabelle Prune Tree	NDOF-2	<mark>-13.52</mark>	<mark>1.18</mark>	<mark>-53.40</mark>	<mark>6.42</mark>	<mark>-75.70</mark>	<mark>8.71</mark>	<mark>-79.05</mark>	<mark>9.60</mark>
Books		<mark>-25.03</mark>	<mark>1.79</mark>	<mark>-51.91</mark>	<mark>4.63</mark>	<mark>-80.68</mark>	<mark>8.48</mark>	<mark>-85.53</mark>	<mark>9.91</mark>
Content Types Ave	erage	<mark>-23.82</mark>	<mark>1.80</mark>	<mark>-53.18</mark>	<mark>5.22</mark>	<mark>-78.95</mark>	<mark>8.50</mark>	<mark>-83.84</mark>	<mark>9.98</mark>
Total Average		<mark>-24.99</mark>	<mark>1.95</mark>	<mark>-53.01</mark>	<mark>5.28</mark>	<mark>-80.46</mark>	<mark>9.34</mark>	<mark>-85.25</mark>	<mark>10.89</mark>

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378 WZ-LF versus H.265/HEVC Inter: In the case of high correlation between SAIs of the 4D-LF 379 pseudo-sequences, the compression performance of the H.265/HEVC inter is obviously better 380 than the proposed WZ-LF with the asymmetric compression. The H.265/HEVC inter codec can 381 be considered as upper bound of DVC-H.265/HEVC outperforming DVC-H.265/HEVC by 10.9 382 dB in compression performance, but the proposed WZ-LF improves the compression 383 performance by narrowing the gap about 1.5 dB compared to DVC-H.265/HEVC. Additionally, 384 the major problem of the H.265/HEVC inter codec is high complexity and not it is hence not 385 compatible with the recent emerging applications considered in this work, whereas the proposed 386 WZ-LF can be a suitable solution. Regarding the H.265/HEVC inter no motion, i.e., without 387 motion compensation, the compression performance of this codec is similar to H.265/HEVC inter 388 because of the high correlation between SAIs as shown in Table 3. Thus, H.265/HEVC inter is 389 representative for comparison.

390 • Notes on performance variation with content types: Since the proposed WZ-LF solution 391 outperforms the relevant benchmarks, i.e., DVC-H.265/HEVC and H.265/HEVC Intra, the WZ-392 LF compression performance changes due with content types differently compared to the other 393 codecs. By encoding individual frames in H.265/HEVC Intra, the comparison with the WZ-LF 394 solution keeps roughly 53% bit-rate saving for all content types. Notably, in comparison to the 395 DVC-H.265/HEVC, the WZ-LF solution achieves the best compression performance on the 396 NDOF content type with BD-Rate reductions of 29.3% while the other content types such as 397 NDOF-1 and NDOF-2 are improved respectively by only about 20.2% and 23.8%. The WDOF and 398 BC contents represent the less motion and high correlation between SAIs of 4D-LF pseudo 399 sequence. Thus, the bit-rate of the proposed coding solution is reduced by 34% and 54% 400 compared to DVC-H.265/HEVC and H.265/HEVC Intra for the BC and WDOF content, 401 respectively. It is noticed that some specific LF sequences with high contrast and saturation

		H 265/HEVC Inter vs H 265	HEVC Inter No Motion
LF sequences	Content Types	(anchor)	[42]
		BD-Rate	BD-PSNR
Red and white building	WDOF	<mark>-0.03</mark>	<mark>0</mark>
Black fence	WDOF-L	<mark>-0.13</mark>	<mark>0.01</mark>
ISO chart 13	<mark>BC</mark>	<mark>-6.33</mark>	<mark>0.32</mark>
Content	Types Average	<mark>-2.16</mark>	<mark>0.11</mark>
<mark>Grave Garden</mark>		<mark>-1.97</mark>	<mark>0.10</mark>
<mark>Chain link fence 1</mark>	NDOF	- <u>-18.51</u>	<mark>1.16</mark>
Game Board		<mark>-0.87</mark>	<mark>0.04</mark>
Content	Types Average	<mark>-7.11</mark>	<mark>0.43</mark>
<mark>Bench in Paris</mark>		<mark>-0.72</mark>	<mark>0.04</mark>
Caution Bees	NDOF-1	-0.98	<mark>0.04</mark>
Fountain and bench		<mark>-5.42</mark>	<mark>0.28</mark>
Content	Types Average	<mark>-2.37</mark>	<mark>0.12</mark>
Poppies		<mark>-0.41</mark>	<mark>0.02</mark>
<mark>Mirabelle Prune Tree</mark>	NDOF-2	<mark>-1.55</mark>	<mark>0.08</mark>
<mark>Books</mark>		- <u>1.49</u>	<mark>0.07</mark>
Content	<mark>Types Average</mark>	<mark>-1.15</mark>	<mark>0.07</mark>
Tot	al Average	<mark>-3.20</mark>	<mark>0.18</mark>

TABLE 3. DD-KATE [%] & DD-PSINK [db] COMPARED BETWEEN HEVC INTER AND HEVC INTER NO MOTION

402 contents, i.e., Game Board, Poppies, Chain link fence 1, and Books, also vary in bit-rate saving
403 and compression performance compared to DVC-H.265/HEVC and H.265/HEVC Intra. For
404 instance, the proposed WZ-LF solution can save respectively 48.2% and 53.9% bit-rate in
405 comparison to DVC-H.265/HEVC and H.265/HEVC Intra for the Game Board sequence.

406 4.3. WZ-LF codec with various coding tools

407 4.3.1. Scanning method assessment

408 Four types of scanning order, i.e., spiral, hybrid, U-shape scanning, and raster, are evaluated 409 based on BD-Rate [54]. Regarding the common scanning method of video coding, raster scanning is 410 utilized as an anchor in order to compute the BD-Rate. Broken down into different data types, the 411 BD-Rate results of the scanning methods are shown in Table 4. Hybrid and U-shape scanning order 412 saves about 3% bit-rate compared to raster scan for most content types, however, notably in NDOF 413 and NDOF-1 types, the BD-rate performance changes compared to the raster scan with respectively 414 about 3% to 24% bit-rate saving. Regarding the spiral scan, this method achieves an outstanding 415 result with about 10% bitrate saving on the average for all data types compared to the raster scan. In 416 particular for the NDOF and NDOF-1 data types, this method still achieves an impressive 417 performance by saving about 11% and 9% bitrate, respectively. Thus, we could tentatively conclude 418 that the spiral scan is the most efficient scanning method, especially for LF images.

TABLE 4. AVERAGE [%] BD-RATE SAVING COMPARISON ON DIFFERENT CONTENTS

Sequences	Content Type	BD-RATE (Raster scan as anchor)			
	-	Spiral	Hybrid	U-shape	
Red and white building	WDOF	-9.50	-2.81	-1.62	
Black fence	WDOF-L	-11.30	-3.59	-1.47	
Chain link fence 1	NDOF	-11.20	13.34	24.83	
Fountain and bench	NDOF-1	-9.14	3.11	8.53	
Poppies	NDOF-2	-9.58	-3.03	-1.16	
ISO chart 13	BC	-13.81	-2.97	1.07	
Average		-10.75	0.67	5.03	

⁴¹⁹

420 4.3.2. Skip mode assessment

421 4.3.2.1. Compression performance

422 Considering the high correlation of SAIs in the 4D-LF pseudo-sequences, the decision tree 423 method is applied to determine the skipping process at the encoder side of the WZ-LF architecture 424 in order to enhance compression efficiency of the WZ-LF coding solution. The spatial-temporal 425 features of the 4D-LF pseudo-sequences are selected based on the changes of depth of field in the 426 content. According to the rules created by the offline trained model, the skip mode decision 427 determines whether or not to skip the WZ encode procedure and encode as a normal 4D-LF pseudo-428 sequences.

Λ	2	0
		,

TABLE 5. BD-RATE [%] & BD-PSNR [dB] OF THE PROPOSED SKIP MODE

LF sequences	Content Types	Skip Mode (Non-	ode (Non-skip mode as anchor)		
		BD-Rate	BD-PSNR		
Red and white building	WDOF	-24.40	1.89		
Black fence	WDOF-L	-21.41	2.04		
ISO chart 13	BC	-33.99	2.67		
Content Type	s Average	-26.60	2.20		
Grave Garden		-17.59	1.51		
Chain link fence 1	NDOF	-22.06	1.71		
Game Board		-48.29	3.58		
Content Type	s Average	-29.31	2.27		
Bench in Paris		-15.88	1.48		
Caution Bees	NDOF-1	-24.53	1.67		
Fountain and bench		-20.29	1.47		
Content Type	s Average	-20.23	1.54		
Poppies		-32.92	2.45		
Mirabelle Prune Tree	NDOF-2	-13.52	1.18		
Books		-25.03	1.79		
Content Type	s Average	-23.82	1.81		
Total Av	erage	-24.99	1.95		

430

431 Table 5 and Figure. 8 show the comparison of the WZ-LF coding solution with and without the 432 skip mode decision. Examining the RD performance results as shown, it is clear that WZ-LF with skip 433 mode achieves lower complexity than WZ-LF without skip mode with a bitrate saving of 25%. 434 Notably, the NDOF content type shows a significant improvement for a bit-rate saving of 29.3%, 435 while WDOF, NDOF-1, and NDOF-2 come with a BD-Rate reduction of 26.6%, 20.2%, and 23.8%, 436 respectively. Therefore, we can observe that the skip mode performs outstandingly with burry 437 content (34% bit-rate saving) or content having a narrow depth of field (48% bit-rate saving for the 438 Game Board sequences).





Figure. 8. RD performance evaluation of WZ-LF with skip and non-skip mode decision

440 4.3.2.2. Compression complexity

Examining compression complexity is an essential part of performance evaluation. For this evaluation, the coding solutions are tested on the same PC with an Intel Core i7-7700HQ (2.8 GHz) processor, 16GB RAM, and Windows 10-Home OS. The results are shown in Fig. 9 and Fig. 10, respectively for QP 40 and QP 25, with and without skip mode decision. To avoid the effect of multithread processing during the test, the results of five repetitions of the same compression setting are averaged. Additionally, the time saving [%] is measured as:

$$Time - Saving = \frac{|T_{Skip} - T_{Non_skip}|}{T_{Non_skip}} \times 100$$
(7)

447 where T_{skip} and $T_{Non_{skip}}$ are respectively processing time of the WZ-LF codec with and 448 without skip mode decision.

Time Complexity - QP40



Time Complexity - QP25



- 452
- 453

Figure. 10. Time complexity of the codec with skip and without skip mode at QP25

454 From these complexity results, it can be observed that the WZ-LF codec with skip mode decision

455 is significantly time-saving for encoding compared to the WZ-LF codec without skip mode decision.

The WZ-LF with skip mode can encode faster on average about 46% and 74% than the WZ-LF without skip mode at QP 40 and QP 25, respectively.

458 5. Conclusion

459 This paper introduces a LF content adaptive frame skipping compression solution following the 460 WZ coding approach by analyzing the spatial and temporal correlation between sub-aperture 461 pictures. The proposed WZ-LF coding paradigm combines the state-of-the-art H.265/HEVC codec 462 with an adaptive frame skipping mechanism, along with an efficient scanning order. The proposed 463 LF compression architecture provides an efficient scanning order, which adapts to LF content. This 464 provides an optimized performance on almost all LF content data types. In addition, the up-to-date 465 WZ coding solution based on embedded adaptive frame skipping decisions, significantly 466 outperforms the relevant H.265/HEVC Intra and DVC-H.265/HEVC codec. In particular, the 467 proposed coding solution improves compression performance, and retains a lower computational 468 complexity than both of the relevant benchmarks. Hence, the proposed WZ-LF coding solution meets 469 the requirements for many emerging applications, e.g., visual sensor networks, video surveillance, 470 and remote space transmission.

In future research, other LF image components, i.e., noise, or depth map, can be analyzed in
order to provide a better quality of LF reconstruction. Thus, the proposed WZ-LF coding solution
may further be improved.

474

475 Author Contributions: Conceptualization, Xiem HoangVan and Huy Phi Cong; methodology, Stuart Perry;
476 software, Huy Phi Cong.; validation, Xiem HoangVan, Huy Phi Cong and Stuart Perry; formal analysis, Xiem
477 HoangVan.; investigation, Huy Phi Cong; resources, Stuart Perry; data curation, Stuart Perry; writing—original
478 draft preparation, Huy Phi Cong; writing—review and editing, Xiem Hoang Van.; visualization, Huy Phi Cong;
479 supervision, Xiem HoangVan and Stuart Perry; All authors have read and agreed to the published version of the
480 manuscript.

Funding: This research is funded by Vietnam National Foundation for Science and Technology Development
 (NAFOSTED) under grant number 102.01-2020.15 and by the Joint Technology and Innovation Research Centre - a
 partnership between University of Technology Sydney and Vietnam National University.

484 **Conflicts of Interest:** The authors declare no conflict of interest.

485 References

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